

Docket No. 241275US8DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshihiro EMORI et al.

SERIAL NO: NEW APPLICATION

GAU: UNASSIGNED

FILED: HEREWITH

EXAMINER: UNASSIGNED

FOR: RAMAN AMPLIFIER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are available in prior application serial number 09/950,779, filed September 13, 2001, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

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22850

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Bradley D. Lytle

Registration No. 40,073

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 241275US8DIV		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Yoshihiro EMORI et al.			
				FILING DATE HEREWITH		GROUP UNASSIGNED	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	4,401,364	8/83	Mochizuki			
	AB	4,616,898	10/86	Hicks, Jr.			
	AC	4,699,452	10/87	Mollenauer et al			
	AD	4,805,977	2/89	Tamura et al			
	AE	4,881,790	11/89	Mollenauer			
	AF	5,673,280	9/97	Grubb et al			
	AG	5,883,736	3/99	Oshima et al			
	AH	5,887,093	3/99	Hansen et al			
	AI	5,946,428	8/99	Aleksandrov et al			
	AJ	5,959,750	9/99	Eskildsen et al			
	AK	5,966,480	10/99	LeGrange et al			
	AL	5,966,206	10/99	Jander			
	AM	6,038,356	3/00	Kerfoot, III et al			
	AN	6,052,219	4/00	Kidorf et al			
	AD	6,081,323	6/00	Mahgerefteh et al			
	AP	6,081,366	6/00	Kidorf et al			
	AQ	6,115,174	9/00	Grubb et al			
	AR	6,147,794	11/00	Stentz			
	AS	6,163,636	12/00	Stentz et al			
	AT	6,178,038	7/01	Taylor et al			
	AL	6,181,464	1/01	Kidorf et al			
	AV	6,191,877	2/01	Chraplyvy et al			
	AW	6,212,310	4/01	Waarts et al			
	AX	6,263,139	7/01	Kawakami et al			
	AY	6,266,180	7/01	Inagaki et al			
	AZ	6,282,002	8/01	Grubb et al			
	BA	6,320,884	11/01	Kerfoot, III et al			
	BB	6,320,695	11/01	Tanaka et al			
	BC	6,377,389	4/02	Grubb et al			
	BD	6,344,922	2/02	Grubb et al			
Examiner				Date Considered			
<p>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							

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	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AD	0 139 081	05/02/85	Europe			
	AP	0 933 894	08/04/99	Europe			
	AQ	10-73852	03/17/98	Japan (with English Abstract)			X
	AR	2001-33838	02/09/2001	Japan (with English Abstract)			X
	AS	2001-7768	01/12/2001	Japan (with English Abstract)			X
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	Y. EMORI, et al., Technical Research Report, The Institute of Electronics, Information and Communications Engineers, vol. 99, no. 52, pages 25-29, "HACHOU TAJU REIKI LD UNIT WO MOCHITA RAMAN ZOUFUKUKI NI OKERU HACHOU REYOUIKI 100NM NI WATARU RITOKU HEITANKA" (100NM BANDWIDTH GAIN-EQUALIZATION ON RAMAN AMPLIFIERS PUMPED BY MULTI-CHANNEL WDM LASER DIODES), May 14, 1999 (with English Abstract)					
	AX	SUGIZAKI ET AL. (OFC/IOOC '99 Tech. Digest. 21-26 Feb. 1999)					
	AY	EMORI et al. (OFC/IOOC '99 Tech. Digest. 21-26 Feb. 1999)					
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		

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